

# Word Proximity Constraints: Information Retrieval meets Temporal Reasoning

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## Abstract

We study the data models  $\mathcal{WP}$  and  $\mathcal{AWP}$  that have been widely used for many years in the area of Information Retrieval.  $\mathcal{WP}$  and  $\mathcal{AWP}$  can be used to represent and query textual information under the Boolean model using the concepts of attributes with values of type text, and word proximity constraints. Variations of  $\mathcal{WP}$  and  $\mathcal{AWP}$  are in use in most deployed digital libraries using the Boolean model, text extenders for relational database systems (e.g., Oracle Text) and the search engine Altavista. We present the syntax, semantics and model theory of  $\mathcal{WP}$  and  $\mathcal{AWP}$  and analyze the complexity of query satisfiability and entailment. Since word proximity constraints are very similar to temporal constraints, the techniques we use in our analysis are similar to the ones developed in previous work on first-order theories of temporal constraints and temporal constraint databases.

## 1. Introduction

We revisit the data models  $\mathcal{WP}$  and  $\mathcal{AWP}$  that have been widely used for many years in the area of Information Retrieval (IR) [3]. The acronyms  $\mathcal{WP}$  and  $\mathcal{AWP}$  were introduced by us in [13, 10].

Data model  $\mathcal{WP}$  is based on *free text* and its query language is based on the *boolean model with word proximity constraints*. Data model  $\mathcal{AWP}$  extends  $\mathcal{WP}$  and it is based on *attributes* with free text as values. The query language of  $\mathcal{AWP}$  is a simple extension of the query language of  $\mathcal{WP}$  so that attributes are included.

In the models  $\mathcal{WP}$  and  $\mathcal{AWP}$  *word patterns* of the form  $w_1 \prec_{[l,u]} w_2$  stand for “word  $w_1$  is before  $w_2$  and is separated by  $w_2$  by at least  $l$  and at most  $u$  words”. For example, *luxurious*  $\prec_{[0,3]}$  *hotel* denotes that the word “hotel” appears before word “luxurious” and at a distance of at least 0 and at most 3 words. The word pattern *Holiday*  $\prec_{[0,0]}$  *Inn*

denotes that the word “Holiday” appears exactly before word “Inn” so this is a way to encode the phrase “Holiday Inn”.

Word patterns were originally introduced in the area of Information Retrieval and have been implemented in many digital library systems in wide use today. Word patterns in IR systems encode word proximity *constraints* (in the sense of constraint databases [9]) using *proximity operators*  $kW$  and  $kN$  where  $k$  is a natural number [3]. The word pattern  $w_1 kW w_2$  stands for “word  $w_1$  is before  $w_2$  and is separated by  $w_2$  by at most  $k$  words”. In our work this can be captured by  $w_1 \prec_{[0,k]} w_2$ . The operator  $kN$  is used to denote distance of at most  $k$  words where the order of the involved patterns does not matter. In our framework the expression  $w_1 kN w_2$  can be written as  $w_1 \prec_{[0,k]} w_2 \vee w_2 \prec_{[0,k]} w_1$ . Strangely enough proximity operators are not popular with current search engines although they could offer a useful extension of “phrase search” facilities. From the well-known search engines only Altavista ([www.altavista.com](http://www.altavista.com)) has an operator *NEAR* which means word-distance 10. There are also advanced IR models such as the model of proximal nodes [15] with proximity operators between arbitrary structural components of a document (e.g., paragraphs or sections).

In the database literature proximity operators have been studied by Chang and colleagues in the context of integrating heterogeneous digital libraries [4, 5, 6]. To the best of our knowledge, these papers contain the only comprehensive treatment of proximity operators that exists in the literature (including IR papers). Our work owes a lot to [4, 5, 6]: the model  $\mathcal{AWP}$  is essentially the model of [4, 5, 6] but with a *different* class of word patterns.

We have recently used the model  $\mathcal{AWP}$  for representing and querying resource meta-data in the distributed information alert system DIAS [10] and the peer-to-peer system P2P-DIET (<http://www.intelligence.tuc.gr/p2pdiet>) [12, 8, 7].

Current extensions of relational database products such

as Oracle Text aimed at the support of information retrieval and filtering applications offer full support for proximity operators [1]. Database systems for XML will probably be the next place where we will encounter them. We expect the recent W3C working draft [14] and papers like [2] to pave the way for the introduction of such IR features in XML query languages XQuery and XPath.

In our talk we will present the syntax, semantics and model theory of  $\mathcal{WP}$  and  $\mathcal{AWP}$  and analyze the complexity of query satisfiability and entailment. Since word proximity constraints are very similar to *temporal constraints*, the techniques we use in our analysis are similar to the ones developed in previous work on first-order theories of temporal constraints and temporal constraint databases. We hope that our work (as presented in detail in [10, 10, 16, 11] and summarized in our presentation at the workshop) will be interesting to temporal reasoning researchers since we demonstrate new problems where techniques from temporal reasoning can be usefully applied.

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